

Docket No.: TRA-029.01
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Xingong Li *et al.*

Application No.: 10/500,932

Confirmation No.: 1567

Filed: January 8, 2003

Art Unit: 1636

For: EFFICIENT LIPOSOMAL
ENCAPSULATION

Examiner: Not Yet Assigned

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt, a copy of which is attached hereto, has the following errors:

Please change the information under "Assignment For Published Patent Application" from "ELAN PHARMACEUTICALS, SOUTH SAN FRANCISCO, CA" to --TRANSAVE, INC., MONMOUTH JUNCTION, NJ-- as indicated on the attached Supplemental Application Data Sheet and Patent Assignment Notice of Recordation.

Please change the entity status of this application from "Large" to --Small-- entity, as noted on the attached Supplemental Application Data Sheet.

Please correct the “Domestic Priority data as claimed by applicant” to include the provisional priority information, as noted on the attached Supplemental Application Data Sheet and as stated in the originally-filed Application Data Sheet.

Applicants additionally request that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

No fee is believed to be due in connection with this submission. Nevertheless, the Commissioner is authorized to charge any deficiencies or credit any overpayment to/from our **Deposit Account, No. 06-1448, TRA-029.01.**

Dated: April 16, 2009

Respectfully submitted,

/Hilary Dorr Lang/

Hilary Dorr Lang

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/500,932	01/26/2007	1636	1971	TRA-029.01	106	6

CONFIRMATION NO. 1567

FILING RECEIPT



0000000035162735

25181

FOLEY HOAG, LLP

PATENT GROUP, WORLD TRADE CENTER WEST

155 SEAPORT BLVD

BOSTON, MA 02110

Date Mailed: 03/26/2009

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

Applicant(s)

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Assignment For Published Patent Application

~~ELAN PHARMACEUTICALS, SOUTH SAN FRANCISCO, CA~~

Transave, Inc.,

Monmouth Junction, NJ

Power of Attorney: The patent practitioners associated with Customer Number 25181

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US03/00377 01/08/2003

Foreign Applications

which claims benefit of 60/346,287 01/09/2002

If Required, Foreign Filing License Granted: 03/24/2009

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/500,932**

Projected Publication Date: 07/02/2009

Non-Publication Request: No

Early Publication Request: No

"SMALL ENTITY"

page 1 of 3

RECEIVED

MAR 31 2009

**PATENT DEPT.
DOCKETING**

Title

Efficient liposomal encapsulation

Preliminary Class

435

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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TO:DAVID NARKUNAS COMPANY:155 SEAPORT BLVD

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 10, 2007

PTAS

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/10/2007

REEL/FRAME: 019536/0813
NUMBER OF PAGES: 7BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 25315-2901

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DOC DATE: 01/16/2007

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019536/0813 PAGE 2

ASSIGNEE:

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SERIAL NUMBER: 10500932

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: EFFICIENT LIPOSOMAL ENCAPSULATION

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

Supplemental Application Data Sheet

Application Information

Application number::	10/500,932
Filing Date::	01/08/03
Application Type::	Regular
Subject Matter::	Utility
Suggested Group Art Unit::	1636
CD-ROM or CD-R?::	None
Sequence submission?::	None
Computer Readable Form (CRF)?::	No
Title::	EFFICIENT LIPOSOMAL ENCAPSULATION
Attorney Docket Number::	TRA-029.01
Request for Early Publication?::	No
Request for Non-Publication?::	No
Total Drawing Sheets::	12
Small Entity?::	No <u>Yes</u>
Petition included?::	No
Secrecy Order in Parent Appl.?::	No

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Correspondence Information

Correspondence Customer Number:: 25181

Representative Information

Representative Customer Number:: 25181

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	US03/00377	01/08/03
US03/00377	An application claiming the benefit under 35 USC 119(e)	60/346,287	01/09/02

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